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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: HUI et al. Examiner: Unknown
Serial No.: 10/056226 Group Art Unit: 2879
Filed: 23 January 2002 Docket: 12364.28USU1
Confirmation No.: 6884 Notice of Allow. N/A
Date:

Due Date: 19 April 2002

Title: NOVEL CIRCUIT DESIGNS AND CONTROL TECHNIQUES FOR HIGH FREQUENCY
ELECTRONIC BALLASTS FOR HIGH INTENSITY DISCHARGE LAMPS

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231, on 25 March 2002.

By: Kate Ryan
Kate Ryan

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Notice to File Missing Parts of NonProvisional Application - Part 2
- ☒ Small entity status has been previously established
- ☒ Signed Combined Declaration and Power of Attorney
- ☒ Check(s) in the amount of \$412.00 and \$65.00 for
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

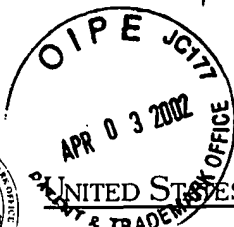
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By: Michael D. Schumann
Name: Michael D. Schumann
Reg. No.: 30,422
MDS/kjr



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MINNEAPOLIS, MN 55402-0903

FORMALITIES LETTER



OC000000007496259

Date Mailed: 02/19/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$42.
 - \$42 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 477.**

A copy of this notice MUST be returned with the reply.

DeeDee Washburn
Customer Service Center

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/04/2002 SSESHEI 00000013 10056226

01 FC-201	370.00 0P
02 FC-202	42.00 0P
03 FC-205	65.00 0P